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PTO/SB/30 (01-03)

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**Request
For
Continued Examination (RCE)
Transmittal**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/753,437
Filing Date	01/03/2001
First Named Inventor	Subodh Raniwala
Art Unit	1744
Examiner Name	Monzer R. Chorbaji
Attorney Docket Number	40002-10217

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This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a
- a. ☐ period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 50-0503
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	James D. Ryndak	Registration No. (Attorney/Agent)	28,754
Signature		Date	06/25/2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	James D. Ryndak	Date	06/25/2003
Signature			

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

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30 North LaSalle Street
Suite 2630
Chicago, Illinois 60602

312.214.7770 tel
312.214.7715 fax

#44 208 for Refund
8/14/03
Ryndak & Suri

Fax

To: Office of Finance – Refund Section

From: James D. Ryndak

Fax: (703) 308-5077

Pages: 6

Phone:

Date: June 30, 2002

Re: Ser. No. 09/753,437

File No: 40002-10217

☐ Urgent

☐ For Review

☐ Please Comment

☐ Please Reply

☐ Please Reply

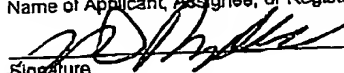
• Comments: Please see attached.

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Patent

James D. Ryndak
Name of Applicant, Assignee, or Registered Rep.
 June 30, 2003
Signature Date

Attorney Docket
No. 40002-10217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Subodh K. Raniwala
Serial No.: 09/753,437
Filed: January 3, 2001
Title: Bottle Sterilizing System and Method
Group Art Unit: 1744
Examiner: Chorbaji, Monzer R.

REQUEST FOR REFUND

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

Sir:

In the monthly statement dated June 2003, Deposit Account No. 50-0503, in the name of Ryndak & Suri, was erroneously charged \$180.00 on June 20, 2003 for the referenced application. The Fee Code is identified as 1806 that is for submission of an information disclosure statement. The information disclosure statement that was filed on June 12, 2003 contained references cited in the European Search report dated March 12, 2003. Therefore, Applicant has three months from the date of the search to file such references with the Patent Office without fee. Since the information disclosure statement filed by Applicant was filed with the United States Postal Service on June 12, 2003 accompanied by certificates of mailing dated June 12, 2003, Applicant should not be required to pay the \$180.00 fee. Enclosed is a copy of the documents filed which indicate the mailing date of June 12, 2003.

06/30/03 14:59 FAX 312 214 7715

In light of the foregoing, please refund Deposit Account No. 50-0503 in the amount of \$180.00. Pursuant to 37 C.F.R. § 1.26, this request for refund is made within two years from the date of the deposit account statement, *i.e.*, June 2003. A copy of the deposit account statement underlining the incorrect withdrawal is enclosed. I hereby request that these refunds be credited to Deposit Account No. 50-0503.

Should you have any questions, please do not hesitate to contact the undersigned.

Respectfully submitted,



James D. Ryndak
Registration No. 28,754
Attorney for Applicant

Date: June 30, 2003

RYNDAK & SURI
30 N. LaSalle Street, Suite 2630
Chicago, IL 60602
(312) 214-7770

Customer No. 021788

Deposit Account Statement



UNITED STATES
PATENT AND
TRADEMARK OFFICE

Deposit Account Statement

Requested Statement Month:

June 2003

Deposit Account Number:

500503

Name:

RYNDAK & SURI

Attention:

PATENT ADMINISTRATOR

Address:

30 NORTH LASALLE STREET

City:

CHICAGO

State:

IL

Zip:

60602

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/02	13	1761858		6205	-\$100.00	\$1,287.00
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06/20	2	09753437	40002-10217	1806	\$180.00	\$1,507.00
06/26	8	E-REPLENISHMENT		9203	-\$4,000.00	\$5,507.00

START
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PTO/SB/21 (03-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/753,437	
	Filing Date	01/01/2001	
	First Named Inventor	Subodh K. Raniwala	
	Art Unit	1744	
	Examiner Name	Chorbaji, Monzer	
Total Number of Pages In This Submission	4	Attorney Docket Number	40002-10217

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Stamped return postcard
Remarks Other Enclosures: Information Disclosure Statement By Applicant (2 pages); Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (1 page); Eight (8) references		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	James D. Ryndak, Reg. No. 28,754 RYNDAK & SURI
Signature	
Date	June 12, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 12, 2003		
Typed or printed	James D. Ryndak, Reg. No. 28,754	
Signature		Date June 12, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**Docket No.
40002-10217

In Re Application Of: Subodh K. Raniwala

Serial No.
09/753,437Filing Date
January 1, 2001Examiner
M. ChorbajiGroup Art Unit
1744

Invention: Bottle Sterilizing System and Method

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

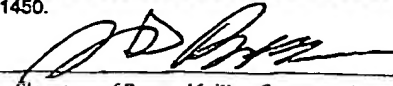

Signature

Dated: June 12, 2003

James D. Ryndak, Reg. No. 28,754
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Signature of Person Mailing Correspondence

James D. Ryndak

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cc: